



Docket No.: 42390P8943X4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
In Re the Application of:

CARL R. STRATHMEYER, ET AL.

Application No.: 10/072,465

Filed: February 6, 2002

For: **APPARATUS AND METHOD FOR
COMPUTER CONTROLLED CALL
PROCESSING AND INFORMATION
PROVISION**

Art Group: 2667

Examiner: Anh Vu H. Ly

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#12

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a Notice of Allowance. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: May 21, 2004



Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Deborah L. Higham

05-21-04

Date



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|--|---|----|---|--------------------------|---------------------|
| Substitute for form PTO 449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 10/072,465 |
| | | | | Filing Date | February 6, 2002 |
| | | | | First Named Inventor | Carl R. Strathmeyer |
| | | | | Art Unit | 2667 |
| | | | | Examiner Name | Anh Vu H. Ly |
| | | | | Attorney Docket Number | 42390P8943X4 |
| Sheet | 1 | of | 2 | | |

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| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------|---|--|---|---|
| Examiner Initials* | Cite No.† | Document Number Number - Kind Code* (if known) | Publication Date or Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | 1 | US-5,867,494 | 02-02-1999 | Krishnaswamy et al. | |
| | 2 | US-5,905,872 | 05-18-1999 | DeSimone et al. | |
| | 3 | US-6,026,087 | 02-15-2000 | Mirashrafi et al. | |
| | 4 | US-6,061,365 | 05-09-2000 | Yeung et al. | |
| | 5 | US-6,094,479 | 07-25-2000 | Lindeberg et al. | |
| | 6 | US-6,185,288 B1 | 02-06-2001 | Wong | |
| | 7 | US-6,199,096 B1 | 03-06-2001 | Mirashrafi et al. | |
| | 8 | US-6,201,805 B1 | 03-13-2001 | Strathmeyer | |
| | 9 | US-6,212,192 B1 | 04-03-2001 | Mirashrafi et al. | |
| | 10 | US-6,407,996 B1 | 06-18-2002 | Witchalls | |
| | 11 | US-6,490,275 B1 | 12-03-2002 | Sengodan | |
| | 12 | US-6,614,781 B1 | 09-02-2003 | Elliott et al. | |
| | 13 | US-2001/0026548 A1 | 10-04-2001 | Strathmeyer et al. | |
| | 14 | US-2001/0036176 A1 | 11-01-2001 | Girard | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |

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| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|-----------|--|--------------------------------|---|---|
| Examiner Initials* | Cite No.† | Foreign Patent Document Country Code* - Number* - Kind Code* (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | 15 | EP 1 113 656 A2 | 07-04-2001 | Nortel Networks Limited | |
| | 16 | WO 00/76158 A1 | 12-14-2000 | Schulzrinne et al. | |
| | 17 | WO 00/79756 A2 | 12-28-2000 | Gliotho et al. | |
| | 18 | WO 01/22720 A2 | 03-29-2001 | MCI Worldcom, Inc. | |
| | 19 | WO 01/43379 A1 | 06-14-2001 | Cosmocom, Inc. | |
| | 20 | WO 01/59987 A2 | 08-16-2001 | Syndeo Corporation | |
| | 21 | WO 01/69899 A2 | 09-20-2001 | Nortel Networks Limited | |
| | | | | | |
| | | | | | |
| | | | | | |

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|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

†Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Sheet | 2 | of | 2 |
|-------|---|----|---|

Complete if Known

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|------------------------|---------------------|
| Application Number | 10/072,465 |
| Filing Date | February 6, 2002 |
| First Named Inventor | Carl R. Strathmeyer |
| Art Unit | 2667 |
| Examiner Name | Anh Vu H. Ly |
| Attorney Docket Number | 42300P8043X/Task |

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NON PATENT LITERATURE DOCUMENTS

[illegible]

**Examiner
Signature**

| | |
|------------|--|
| Date | |
| Considered | |

***Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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2667

| | | | |
|---|--|------------------------|---------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application No. | 10/072,465 |
| | | Filing Date | February 6, 2002 |
| | | First Named Inventor | Carl R. Strathmeyer |
| | | Art Unit | 2667 |
| | | Examiner Name | Anh Vu H. Ly |
| Total Number of Pages in This Submission | | Attorney Docket Number | 42390P8943X4 |

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| ENCLOSURES (check all that apply) | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><ul style="list-style-type: none">- Check for \$180.00- 24 Cited References- Return Receipt Postcard</div> |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature | |
| Date | May 21, 2004 |

| CERTIFICATE OF MAILING/TRANSMISSION | | | |
|---|-------------------|------|--------------|
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| Typed or printed name | Deborah L. Higham | | |
| Signature | | Date | May 21, 2004 |



FEE TRANSMITTAL for FY 2004

Effective 10/01/2004. Patent fees are subject to annual revision.

Complete if Known

Application Number 10/072,465
Filing Date February 6, 2002
First Named Inventor Carl R. Strathmeyer
Examiner Name Anh Vu H. Ly
Art Unit 2667
Attorney Docket No. 42390P8943X4

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

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METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$) |

2. EXTRA CLAIM FEES

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | 34 - 34* = 0 | 18.00 | \$0.00 |
| Independent Claims | 6 - 6* = 0 | 86.00 | \$0.00 |
| Multiple Dependent | | | |

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|---|-----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 | |
| 1203 | 290 | 2203 | 145 | Multiple Dependent claim, if not paid | |
| 1204 | 86 | 2204 | 43 | **Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | (\$) 0.00 |

*or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued) MAY 25 2004

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 2053 | 130 | 2053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920 * | 1804 | 920 * | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840 * | 1805 | 1,840 * | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1404 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 2451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 2460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | 180.00 |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 1809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

Name (Print/Type) Gregory D. Caldwell

Signature

Registration No. 39,926
(Attorney/Agent)

Complete (if applicable)

Telephone (503) 684-6200

Date

05/21/04